



Policy created by	Operational staff
Policy reviewed by	Compliance Head
Approval authority	Board of Directors
Periodicity of Review periodicity	As and when any changes are introduced by any statutory authority or as and when it is found necessary to change the policy due to some eventuality
Officer responsible for implementation	Compliance Officer
Policy reviewed on	April 6, 2020
Policy approved by Board on	April 7, 2020

The Policy shall be implemented with immediate effect.

For & Behalf of
Unique Stockbro Private Limited


Paresh V. Popat
Director


Ashish V. Popat
Director



Date: April 6, 2020

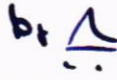
Policy on Redressal mechanism for Investor Grievance

Since inception, we have received only one complains which is fully resolved. As per the system formulated by the member, any complaint received is first written in the investor grievances register. The complaint is to be looked into within 24 hours by a four-member committee representing three divisional heads and one director. Veracity of the complaint received is to be verified based on the documents received along with the complaint and internal enquiries. Every effort is to be made to resolve the complaint. Reply to the client is to be given within 48 hours. In case when client complaint is found to be genuine after due verification, the same should be resolved immediately and amicably irrespective of the amount involved and these types of complaint should not form part of the long pending complaints. In case, when client complaint is not found to be genuine then the client should be explained the same in-person. This should be followed up by a detailed reply along with documentary evidences. If the client prefers arbitration, then to co-operate fully in the arbitration proceedings and then the matter will be solved in accordance with the legal proceedings.

For & behalf of
Unique Stockbro Private Limited



Paresh V. Popat
Director



Ashish V. Popat
Director



Date: April 6, 2020

End of Report

Disclaimer: Policy on Redressal mechanism for Investor Grievance as depicted above is subject to change from time to time having regard to change in circumstances & regulatory guidelines. This Policy on Redressal mechanism for Investor Grievance shall be reviewed periodically in accordance with regulatory guidelines and management perception.